



RECEIPT

Dkt. 43016-D/JPW/AN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Philip O. Livingston and Friedhelm Hebling
Serial No. : 08/477,147
Filed : June 7, 1995
For : GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21

RECEIVED

JUN 11 2001

TECH CENTER 1600/2900

1185 Avenue of the Americas
New York, New York 10036
February 20, 2001

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

SECOND COMMUNICATION REQUESTING CORRECTED FILING RECEIPT

This Communication is filed to request a corrected Filing Receipt in connection with the above-identified application. Upon receipt of the official Filing Receipt for the subject application, a copy of which is attached hereto as **Exhibit A**, applicants' undersigned attorney noticed errors.

RECEIVED
JUN-8 2001
RC 1700 MAIL ROOM

Specifically, the title and the number of total and independent claims are incorrect for the subject application.

The title on a corrected Filing Receipt should read as follows:

--GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21--

Applicants contend that the correct title may be found in the

Applicants : Philip O. Livingston and Friedhelm Helling
Serial No. : 08/477,147
Filed : June 7, 1995
Page 2

Declaration and Power of Attorney, which was filed with the subject application on September 1, 1995, a copy of which is attached hereto as **Exhibit B**.

Also, the number of total claims should read **9** instead of 22, and the number of independent claims should read **2** instead of 1. Accordingly, applicants request that a corrected Filing Receipt be issued.

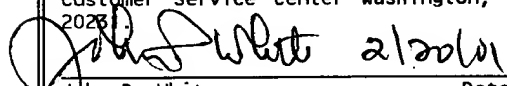
No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,



John P. White
Registration No. 28,678
Attorneys for Applicant(s)
Cooper & Dunham, LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination Customer Service Center Washington, D.C. 20230

 2/20/91
John P. White
Reg. No. 28,678
Spencer H. Schneider
Reg. No. 45,923

Date

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JPW
(50)

43016-D

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 08/477,147 | 06/07/95 | 1645 | \$904.00 | 43016-D/JPW/ | 26 | 22 | 1 |

JOHN P WHITE
COOPER & DUNHAM
1185 AVENUE OF THE AMERICAS
NEW YORK NY 10036

RECEIVED

JUN 11 2001

AUG - 4 1999

TECH CENTER 1600/2900

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) PHILIP O. LIVINGSTON, NEW YORK, NY; FRIEDHELM HELLING,
NEW YORK, NY.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A 371 OF PCT/US94/00757 01/21/94
AND A CIP OF 08/009,268 01/22/93 ABN

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/05/95

TITLE

GANGLIOSIDE-KLH CONJUGATE VACCINES PLUS OS-21

PRELIMINARY CLASS: 424

DATA ENTRY BY: WILSON, PAMELLA

TEAM: 12 DATE: 07/22/99

|||||

(See reverse for new important information)

Declaration and Power of Attorney

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

GANGLIOSLIDE-KLH CONJUGATE VACCINE PLUS QS-21

the specification of which
(check one)

_____ is attached hereto.

☒ was filed on June 7, 1995

Application Serial No. 08/477,147

and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information of which I am aware which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s) | | Filing Date | Priority Claimed | |
|------------------------------|---------|-------------|------------------|-------|
| Number | Country | | Yes | No |
| N/A | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |

RECEIVED
JUN - 8 2011
TC 1700 MAIL ROOM

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Sections 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| <u>Application Serial No.</u> | <u>Filing Date</u> | <u>Status</u> |
|-------------------------------|--------------------|---------------|
| PCT/US94/00757 | January 21, 1994 | pending |
| 08/009,268 | January 22, 1993 | abandoned |

And I hereby appoint

John P. White (Reg. No. 28,678); Thomas F. Moran (Reg. No. 16,579); Norman H. Zivin (Reg. No. 25,385); Ivan S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,031); Thomas G. Carulli (Reg. No. 30,616); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Richard S. Milner (Reg. No. 33,970); Matthew J. Golden (Reg. No. 35,161); Albert Wai-Kit Chan (Reg. No. 36,479); Kristina L. Konstas (Reg. No. 37,864); Robert T. Maldonado (Reg. No. 38,232); and Lewis J. Kreisler (Reg. No. 38,522),

and each of them, all c/o Cooper & Dunham, LLP, 1185 Avenue of the Americas, New York, New York 10036 my attorneys, each with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, to transact all business in the Patent and Trademark Office connected therewith and to file any International Applications which are based thereon under the provisions of the Patent Cooperation Treaty.

Please address all communications, and direct all telephone calls, regarding this application to

John P. White Reg. No. 28,678
 Cooper & Dunham
 1185 Avenue of the Americas
 New York, New York 10036

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventor Philip Ordway Livingston

Inventor's signature

Philip Livingston

Citizenship United States

Date of signature

Philip Livingston 6/30/94

Residence 156 East 79th Street, New York, New York 10021

Post Office Address same as residence address

Full name of joint
inventor (if any) Friedhelm Helling

Inventor's signature Friedhelm Helling

Citizenship Germany

Date of signature 8/22/95

Residence 504 East 63rd Street, Apartment 25N, New York, New York 10021

Post Office Address same as residence address